

# Efficient 6-DoF Tracking of Handheld Objects from an Egocentric Viewpoint

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**Abstract.** Virtual and augmented reality technologies have seen significant growth in the past few years. A key component of such systems is the ability to track the pose of head mounted displays and controllers in 3D space. We tackle the problem of efficient 6-DoF tracking of a handheld controller from egocentric camera perspectives. We collected the HMD Controller dataset which consist of over 540,000 stereo image pairs labelled with the full 6-DoF pose of the handheld controller. Our proposed SSD-AF-Stereo3D model achieves a mean average error of 33.5 millimeters in 3D keypoint prediction and is used in conjunction with an IMU sensor on the controller to enable 6-DoF tracking. We also present results on approaches for model based full 6-DoF tracking. All our models operate under the strict constraints of real time mobile CPU inference.

**Keywords:** Virtual reality, 6DoF dataset, handheld object tracking, MobileNet, SSD, Pose estimation.

## 1 Introduction

In the past few years, virtual reality (VR) systems have seen an increased demand. These devices are typically in the form of a head mounted display (HMD) for rendering the virtual scene, and single or dual handheld controllers for interaction. The HMD and controllers need to be tracked in position and orientation to create an immersive experience. The tracking can either be 3 degrees of freedom (DoF) including only orientation (roll, pitch and yaw) or 6-DoF which includes position in 3D space as well.

More realistic experiences can be created with 6-DoF tracking but it often requires additional hardware. VR headsets like the HTC Vive use external IR cameras and markers for tracking, restricting the system to be only operational in limited space. Newer mobile 6-DoF headsets can achieve similar results with inside out tracking. Such headsets have one or more outward facing cameras attached to the headset. By applying localization algorithms such as SLAM on camera images, we can compute the headset's 6-DoF position with respect to the environment.

Meanwhile, tracking handheld controllers in 6-DoF for mobile HMD remains a difficult problem. Controllers tend to move faster than headsets, have a much

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larger movement range, and may be occluded by users’ own bodies. Existing solutions rely on either additional sensing hardware, e.g. electromagnetic sensors in Sixsense systems, or additional visual markers as in Sony PS VR systems. The former solution can be costly, and the latter suffers from reliability issues when markers are occluded.

In this work, we explore image-based markerless 6-DoF tracking of handheld controllers. Our key observation is that users’ hands and arms provide excellent context for where the controller is in the image, and are robust cues even when the controller itself might be occluded. To simplify the system, we use the same cameras for headset 6-DoF pose tracking on mobile HMDs as our input. In our experiments, they are a pair of stereo monochrome fisheye cameras. We do not require additional markers or hardware beyond a standard IMU based controller. We believe this can enable extremely small and cheap clicker-like controllers, and eventually lead into purely hand based interaction.

### 1.1 Contributions

Our main contributions in this work are:

1. An approach to automatically label 6-DoF poses of handheld objects in camera space.
2. The HMD Controller dataset<sup>1</sup>, the largest-to-date markerless object 6-DoF dataset. This dataset contains 547,446 stereo image pairs with the 6-DoF pose of a handheld controller. We provide timestamped 6-DoF pose of a handheld controller for each image. The dataset contains images for 20 different users performing 13 different movement patterns under varying lighting conditions. Our dataset will be publicly available prior to the conference.
3. Neural network models to enable 3-DoF and 6-DoF tracking of handheld objects with mobile CPU compute constraints.

## 2 Related Work

There are a few existing datasets of handheld objects for the task of object recognition. The Small Hand-held Object Recognition Test (SHORT) [21] dataset has images taken from hand-held or wearable cameras. The dataset collected in [14] uses RGBD data instead. The Text-IVu dataset [2] contains handheld objects with text on them for text recognition. None of these datasets contain pose information of handheld objects.

On the other hand, researchers have also collected datasets specifically for object 6-DoF pose estimation. Datasets like the ones presented in [8] and [23] provide full 6D object pose as well as the 3D models for most object categories, but do not deal with handheld objects. It is worth noting that having 3D models of the objects can improve pose estimation accuracy, but is infeasible in our case where the hand shape and manner of holding the object vary across users.

<sup>1</sup> <https://sites.google.com/view/hmd-controller-dataset>

Our work is closely related to hand pose estimation from an egocentric perspective. The EgoHands dataset [1] consists of videos taken from a Google Glass of social interactions between people. It contains pixel level segmentation of the hands, but no information on handheld objects. The SynthHands dataset [18] consists of real captured hand motion retargeted to a virtual hand with natural backgrounds and interactions with different objects. The BigHand2.2M benchmark dataset [26] is a large dataset which uses 6D magnetic sensors and inverse kinematics to automatically obtain 21 joints hand pose annotations on depth maps. The First-Person Hand Action Benchmark dataset [4] provides RGB-D video sequences of the locations of 21 joints on the hand as well as the 6-DoF pose of the object the hand is interacting with. The joint locations are captured using visible magnetic sensors on the hand.

We base our models on the SSD [15] architecture due to its computational efficiency and superior performance compared to other single shot object detection approaches like YOLO [20]. Some of the key factors for the improved accuracy of SSD come from using separate filters for different aspect ratios. They are applied to feature maps at different feature extractor layers to perform detection at multiple scales. The computational efficiency comes from the fact that it is a single stage approach that does detection and recognition in one go instead of two stage approaches like Faster RCNN [6] and Mask RCNN [7] that do detection in the first stage followed by recognition in the second.

A few recent work have extended object detection approaches to 3D and 6D in a manner similar to ours. Mousavian *et al.*[17] regress box orientation and dimensions for 3D bounding box prediction on the KITTI [5] and Pascal 3D+ [25] datasets. Kehl *et al.*[12] and Poirson *et al.*[19] have formulated object pose estimation as a classification problem of discrete pose candidates, and tackled the problem using variants of SSD. Other approaches like [16] treat pose estimation as a regression problem, and used a combination of a CNN based feature network and an object specific pose network to regress 3D pose directly.

### 3 HMD Controller Dataset

The HMD Controller dataset consists of over 540,000 stereo monochrome fish-eye image pairs of 20 participants performing 13 different movement patterns with the controller in their right hand. We collect the 6-DoF pose (position and orientation in 3D space) of the handheld controller. For each image pair sample, we provide:

- Timestamp synchronized 6-DoF pose of the tip of the controller in left camera’s coordinate frame;
- Timestamp synchronized 6-DoF pose of the cameras with respect to a static environment;
- Intrinsic and extrinsic of the camera pair.

### 3.1 Device Setup and Calibration

To collect precise groundtruth for 6-DoF pose of the controller we use the Vicon motion capture system [24], which can track retroreflective markers with static infrared cameras set up in the capture space. We attach constellation of Vicon markers to both the headset and the controller. The markers on the headset are outside the field of view of cameras. The markers on the controller are placed in a way that they would be occluded by human hand most of the time, and not visible in camera images. We asked users to perform predefined set of motions. As there was still risk to have certain poses where markers were visible, we had three versions of controllers with Vicon markers attached to different places (Fig 1). Users were asked to repeat the same motion with each version of the controller.



Fig. 1: Different versions of Vicon attachments to controller.

	Orientation (degree)	Position (mm)
Headset	0.349	6.693
Controller	0.032	0.658

Table 1: RMSE in hand-eye calibrations.

Vicon system provides 6-DoF pose tracking at 500 Hz. The pose of the marker constellation on the back of the controller  $CB$  with respect to the Vicon room origin  $V$  (initialized in an one-off room calibration stage) is provided at every update. We denote this as  $T_V^{CB}$ .

As we have different marker constellations, we need to compute the pose of one canonical keypoint on the controller to be able to merge data captured in different sessions. We choose the tip of the controller  $CT$  as the canonical keypoint, as it best reflects users' intention for spatial interaction in VR. We further define the local coordinate space centered at controller tip to be axis-aligned with the physical controller. We denote the transformation between this canonical coordinate space and the camera space as  $T_{Cam}^{CT}$ .

The computation of  $T_{Cam}^{CT}$  depends on the tracking of headset in vicon space. We track the headset by introducing an additional Vicon trackable constellation  $H$  which is mounted rigidly on the headset. At every frame we receive updates on the pose of  $H$  in Vicon tracking space. We denote this as  $T_V^H$ . The headset-mounted constellation has a rigid transformation from the camera. This can be computed as a hand-eye calibration problem [3] in robotics.

We compute the rigid transformation of  $T_{Cam}^H$  with offline hand-eye calibration procedures. We also compute  $T_{CB}^{CT}$  offline, with temporarily mounting a tracking marker at the controller tip and record the Vicon poses of  $CB$  and  $CT$ :

$$T_{CB}^{CT} = T_V^{CB^{-1}} \cdot T_V^{CT}. \quad (1)$$

After  $T_{CB}^{CT}$  is computed for each controller configuration, we remove the marker on the tip of the controller for user data collection in order not to introduce visible markers to images.

Another important calibration step is time alignment between Vicon clock and headset camera clock. The alignment is done based on angular velocity calculated based on trajectory provided by Vicon and cameras handeye calibration [3]. This allows us to find camera frame and corresponding 6-DoF pose of the controller.

During data collection, we can compute synchronized 6-DoF pose of the tip of the controller in left camera space as follows:

$$T_{Cam}^{CT} = T_H^{Cam^{-1}} \cdot T_V^{H^{-1}} \cdot T_V^{CB} \cdot T_{CB}^{CT}. \quad (2)$$

Each of the calibration steps described above introduced some error, we estimate root mean squared errors (RMSE) for hand-eye calibration in Table 1. This represents the level of noise in groundtruth labels of our dataset.

### 3.2 Dataset Cleaning

We investigated two potential issues with samples in our dataset: frames with missing or incorrect 6-DoF poses, and frames with visible tracking markers.

To remove frames with missing or incorrect 6-DoF poses, we filter our dataset with the following criteria:

1. Controller position is restricted to be within 1 meter away from the camera. All our dataset participants have arm length less than 1 meter.
2. We can detect missing Vicon tracking frames with the Vicon system. We discard image frames with no corresponding Vicon poses. We also note that it takes approximately 0.6s for Vicon to fully re-initialize. Poses produced during the reinitialization stage tends to be erroneous. Therefore we discard 20 subsequent camera frames after tracking is lost as well.
3. Incorrect 6-DoF labels due to Vicon tracking errors are more difficult to filter automatically. Figure 2 provides a few examples of imprecise pose labels. We use an active learning scheme for filtering such frames, where we apply our trained models on the dataset to detect potential incorrectly labeled frames. In our experiments, we detect frames with pose prediction error larger than 3cm. We then manually scan the set of frames and remove invalid labels.

We provide timestamps for each image in our cleaned dataset, so that one can track discontinuity in frames.

Another potential issue with our dataset is accidental exposure of Vicon tracking markers in images. Since users are encouraged to move freely when completing a motion pattern, it is always possible that tracking markers are visible to head-mounted cameras.

We use the integrated gradient method [22] to analyze effects of visible markers in the training dataset. Interestingly, we observe that our train models do

not pick up markers as visual cues. In Figure 3, we show an sample input image with clearly visible markers. Pixels that contributed most to model prediction on this image does not include pixels of the marker. We believe this is due to the small number of frames with visible markers and the small size of markers in the grayscale image - each marker is approximately 2.5 pixels wide on average in training images. Therefore, our final dataset does not explicitly filter out frames with visible markers.



Fig. 2: Examples of Vicon tracking failures and incorrect 6-DoF poses.



Fig. 3: Input image with visible markers and pixels that contributed most to prediction.

### 3.3 Bounding Box and Label Assignment

Many objection detection models such as SSD [15] require 2D bounding boxes and labels as input. Since the controller to be tracked is largely occluded by hand, we instead compute the bounding box for the hand holding the controller. We observe that we can approximate users' thumb position with the controller tip position  $CT$ .

To compute the 3D bounding cube of users' hand in camera space, we have:

$$P_{Cam}^{c_i} = T_{Cam}^{CT} \cdot P_{CT}^{c_i} \quad \text{for } x = 1, \dots, 8 \quad (3)$$

where  $c_i$  denotes the 8 corners of the bounding cube, and  $P_{CT}^{c_i}$  denotes the location of the corners in local controller space  $CT$ . In our experiments, we set  $P_{CT}^{c_i}$  to be the permutation of  $\{-0.03, 0.05\}$ ,  $\{-0.05, 0.01\}$ ,  $\{-0.01, 0.10\}$  (in meters). The bounding box size reflects typical human hand size, and the location reflects the shape of the right hand viewed from the controller tip's local space.

Finally we compute the 2D hand bounding box by projecting the 3D bounding cube into the image space using camera intrinsics. We choose the smallest axis-aligned 2D bounding box that contains all projected bounding cube corners. All hand bounding boxes are automatically associated with the label for right hand. During model training, we add another label for background to all unmatched anchors.

Note that with using the full hand as object bounding box, we have transferred our problem to be hand detection and keypoint localization in image space. Hands and arms provide excellent context for controller pose even when the controller is not observable visually. Hands and arms also have more high-level features for neural networks to pick up on. This is a key to our solution of markerless controller tracking.

### 3.4 Dataset Statistics

After dataset cleaning, we obtained a final set with 547,446 frames. Figure 4 shows sample frames with visualized groundtruth pose annotations. Figure 5–7 shows pose distribution in our dataset in image space,  $xyz$  space and orientation space.



Fig. 4: Sample images with visualized 6-DoF groundtruth annotation.

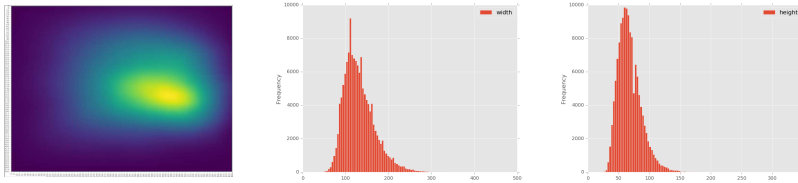


Fig. 5: *Left to right*: Heatmap of pixels occupied by user hand and controller; histogram of 2D bounding box width; histogram of 2D bounding box height.

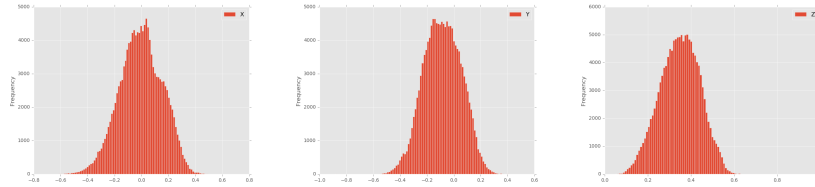


Fig. 6: *Left to right*: Histograms of coordinates in x, y and z directions in meters.

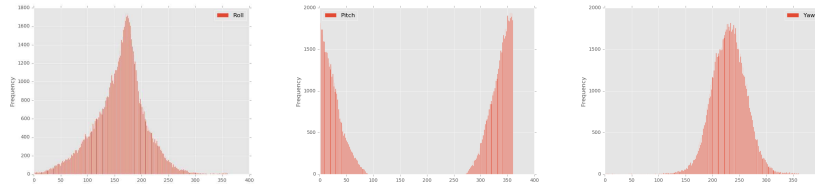


Fig. 7: *Left to right*: Histograms of roll, pitch and yaw in degrees.

Groundtruth pose distribution in our dataset aligns with the space of natural human arm movement. We instructed all participants to use their right hands to operate the controller, therefore there are more samples in the right half of the image. We could easily flip the image vertically and use them as samples for building left hand models.

## 4 Additional Fields Multibox Detector

We define an extensible model architecture based on Single Shot Multibox Detector (SSD) [15] for egocentric hand detection and 6-DoF keypoint tracking experiments. Our model **SSD-AF** supports adding arbitrary number of **Additional Fields** to the output of each box prediction. Such additional fields can be used to encode both regression and classification targets, for the cases of pose regression models and binning models respectively.

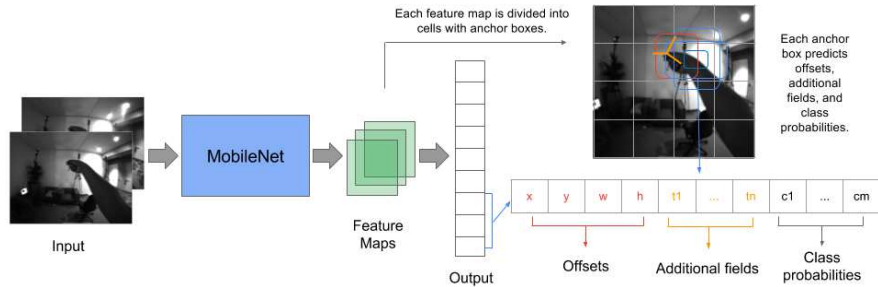


Fig. 8: MobileNet-SSD-AF architecture: we use MobileNet as the feature extractor network, and SSD with additional field output for detection and classification.

We choose an object detection approach based on SSD for our task because it has been shown to achieve good speed and accuracy tradeoff [11]. Additionally, SSD and similar approaches like YOLO [20] perform detection and classification simultaneously and thus tend to be more computationally efficient compared to two stage object detectors like Faster RCNN [6]. The SSD architecture also uses multi-scale feature maps from the feature extractor network to enable detection at different scales.

The classic form of the SSD architecture is a fully convolutional neural network that produces a fixed size collection of bounding boxes and class scores for the presence of an object within the box. This is followed by a non-maximum suppression step that chooses one or more boxes with the highest class scores. The output collection of boxes are represented as offsets to a set of heuristically chosen default boxes (similar to anchor boxes in [6]) of varying size and aspect ratio. For each default box we have:

$$output = [x_i, y_i, w_i, h_i, c_{i1}, \dots, c_{im}] \text{ for default box } i \in \{1, \dots, n\} \quad (4)$$



where  $x_i, y_i, w_i, h_i$  represents the offsets,  $c_{ij}$  represents class probability for class  $j$ , and  $n$  is the total number of matched boxes.

During training, target offset and class probabilities are assigned to default boxes whose overlap with groundtruth bounding boxes is above a given threshold. The loss calculated between the output and target vectors has two part: localization loss for the bounding box offsets, and classification loss for associated class confidences. The total loss is the weighted sum of the losses for the matched boxes and given by,

$$Loss = \frac{1}{n} [L_{loc}(\langle x, y, w, h \rangle_i^{pred}, \langle x, y, w, h \rangle_i^{gt}, x_i) + \alpha L_{conf}(c_{ij}^{pred}, c_{ij}^{gt}, x_i)] \quad \forall i \in \{1, \dots, n\}, j \in \{1, \dots, m\} \quad (5)$$

$x_i$  is an indicator variable representing whether the  $i$ -th default box was matched to a ground truth box.

In order to predict 6-DoF pose along with the bounding boxes, we make the following modifications to the SSD architecture. We attach selected additional fields to each of the default boxes such that each box’s output now has 4 offsets  $(x, y, w, h)$ ,  $k$  additional fields  $(t_1, t_2, \dots, t_k)$  and  $m$  class confidences  $(c_1, c_2, \dots, c_m)$ . For example, if we use the additional fields to predict the 2D keypoint corresponding to the controller tip then  $k = 2$ , where as if we predict the full 6-DoF pose with position in  $xyz$  space and orientation in quaternions then  $k = 7$ . By default, we use  $m = 1$  for all cases since we have only one object class corresponding to the hand holding the controller. The total loss in SSD-AF is,

$$Loss = \frac{1}{n} [L_{loc}(\langle x, y, w, h \rangle_i^{pred}, \langle x, y, w, h \rangle_i^{gt}, x_i) + \alpha L_{conf}(c_{ij}^{pred}, c_{ij}^{gt}, x_i) + \beta L_{fields}(t_{il}^{pred}, t_{il}^{gt}, x_i)] \quad (6) \quad \forall i \in \{1, \dots, n\}, j \in \{1, \dots, m\}, l \in \{1, \dots, k\}$$

Note that additional fields can vary depending on whether the model predicts regression targets such as 2D keypoint, 3D keypoint or full 6-DoF pose of the controller tip, or classification targets such as discretized bins of angular rotation. Additional field loss  $L_{fields}$  is set according to the type of target. Additionally, each additional field can be encoded with respect to the default box coordinates, just as in case of the bounding box coordinates which are encoded as offsets with respect to the default box.

We use MobileNet [10] as the feature extractor network for SSD-AF. Our final model architecture is shown in Figure 8.

## 5 Experiments

### 5.1 Experiment Setup

We split the HMD Controller dataset into a training and evaluation set based on users. In total we use 508,690 samples for training and 38,756 samples for testing. All metrics below are reported on the testing set.

Our models are implemented using Python and Tensorflow. The input images are downsized from their original resolution of  $640 \times 480$  to  $320 \times 240$ . The images are preprocessed by normalization to a  $[0, 1]$  range, and random contrast and brightness perturbation is applied during training. We use a MobileNet with depth multiplier 0.25 as our feature extractor. The ground truth target vector is generated by assigning anchors that have greater than 50% IOU with the groundtruth boxes. We use Smooth L1 loss [6] for localization and additional fields and binary cross entropy for classification. We set the loss weights  $\alpha$  and  $\beta$  to be 1.0 in all our experiments.

The network is trained using stochastic gradient descent with ADAM optimizer [13]. As a post-processing step, we perform non-maximum suppression on the output boxes to pick the box with the highest class probability score. The final output consists of the coordinates of the output box with the corresponding additional fields and class confidences.

## 5.2 Metrics

We derive our metrics based on those defined in [9]. For a sample in our testing set, we denote the groundtruth 2D bounding box with  $B^{gt}$ , and a candidate 2D box as  $B^{pred}$ . We denote class probability of the object in the candidate box being users' right hand holding the controller as  $c^{pred}$ . Conversely, the probability of candidate box being in background class is  $1 - c^{pred}$ .

**Detection Metrics:** We use mean average precision (mAP) as our main metric for detection. The following algorithm is used to determine whether  $B^{pred}$  is a true positive  $TP$ , false positive  $FP$ , true negative  $TN$ , or false negative  $FN$ :

$$B^{pred} \text{ is } \begin{cases} TN, & \text{if } B^{gt} \text{ does not exist and } c^{pred} < t_c \\ TP, & \text{if } B^{gt} \text{ exists and } c^{pred} > t_c \text{ and } IOU_{(B^{gt}, B^{pred})} > t_{IOU} \\ FN, & \text{if } B^{gt} \text{ exists and } c^{pred} < t_c \\ FP, & \text{otherwise} \end{cases}$$

where  $t_c$  is a selected threshold on class probability, and  $t_{IOU}$  is a selected threshold on the value of intersection over union (IOU) between the groundtruth box and candidate box. In our results below, we set  $t_c$  to be 0.0001. Unless stated otherwise, we set  $t_{IOU}$  to be 0.05, which maps to a maximum of 92.4 millimeter in position error. Finally precision is given by  $tp/(tp + fp)$ .

For models which predicts orientation in discrete bins, we also evaluate the mAP of bin classification. mAP in bin classification is only computed on the true positives.

**Pose Metrics:** For regression targets, we calculate the mean average error (MAE) and root mean-squared error (RMSE) between the groundtruth and predicted values. We report keypoint errors in image space coordinate  $u, v$  in pixels, and in camera space coordinate  $x, y, z$  in meters. For experiments which

has orientation as a regression target, we report orientation errors in camera space in degrees.

### 5.3 3D Position Estimation

First we present results on 3D pose estimation with SSD-AF. Our best model for this task **SSD-AF-Stereo3D** uses a stacked stereo image pair as input to the network and predicts boxes with 6 additional fields representing the 3D position of the controller tip in both cameras  $(t_u^1, t_v^1, t_z^1, t_u^2, t_v^2, t_z^2)$ . Let the 3D position of the object keypoint be  $P_{Cam}^o = (P_x^o, P_y^o, P_z^o)$ , and the projected keypoint be  $u_o, v_o$  in image space. We encode the offset of  $u_o, v_o$  with respect to the anchor box as  $t_u$  and  $t_v$ , and  $P_z^o$  with respect to the box height as  $t_z$ . We have:

$$t_u = (u_o - x_a)/w_a, \quad t_v = (v_o - y_a)/h_a, \quad t_z = P_z^o/h_a \quad (7)$$

where  $x_a, y_a$  represent the default box  $a$ 's center and  $w_a, h_a$  represent its width and height.

We show the qualitative results of our SSD-AF-Stereo3D model in Figure 9.

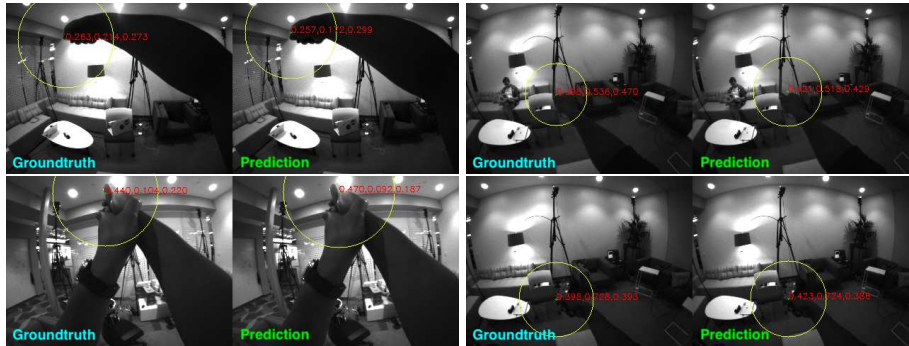


Fig. 9: Prediction results of SSD-AF-Stereo3D on sample test set images. Groundtruth 3D position is visualized on the left half of each image, and predicted position is visualized on the right. The size of the overlay circle is inversely proportional to  $P_z^o$ . Our model performs within centimeter accuracy despite extremely challenging lighting conditions and complex situations such as user holding the controller with both hands.

Quantatively, we compare our model with two other baseline model:

- **SSD-AF-2D**: Model input is one of the stereo images. Additional fields output are  $(t_u, t_v)$ .
- **SSD-AF-3D**: Model input is one of the stereo images. Additional fields output are  $(t_u, t_v, t_z)$ .

The evaluation results for bounding box and class prediction are shown in table 2, and the results for 2D, 3D and 6-DoF tracking are shown in table 3. It can be seen that the precision of the models are generally high, indicating

good bounding box prediction performance. SSD-AF-Stereo3D achieved the best bounding box precision of 0.9534. It also achieves the lowest UV prediction MAE of 8.45 pixels and 3D keypoint prediction MAE of 33.5 millimeters. Additionally, this model can run on one big mobile CPU at 30fps.

Model	mAP @0.05IOU	mAP @0.25IOU	mAP @0.5IOU
SSD-AF-2D	0.9140	0.8469	0.5180
SSD-AF-3D	0.9380	0.8761	0.5131
SSD-AF-Stereo3D	<b>0.9534</b>	<b>0.9533</b>	<b>0.7767</b>

Table 2: Detection mAP of 3D position models.

Model	Position ( $uv$ )		Position ( $xyz$ )		Latency (ms)	
	MAE	RMSE	MAE	RMSE	Mobile	Titan X
SSD-AF-2D	12.41	30.01	-	-	30.140	6.378
SSD-AF-3D	10.23	24.38	0.0493	0.0937	30.649	6.303
SSD-AF-Stereo3D	<b>8.45</b>	<b>23.25</b>	<b>0.0335</b>	<b>0.0776</b>	31.768	6.512

Table 3: Pose prediction errors and latency: Position errors is measured in pixels in  $uv$  space, and in meters in  $xyz$  space. Mobile latency is measured in milliseconds on a Pixel 2 mobile phone using only 1 big CPU core, and on a desktop Titan X GPU. Note that we can run SSD-AF-2D on both images in the stereo pair, and triangulate with camera extrinsics to compute the 3D pose. This would effectively double the runtime.

The higher  $uv$  prediction performance of the SSD-AF-3D model compared to the SSD-AF-2D model indicates that adding  $t_z$  to the target helps bounding box and 2D keypoint prediction as well. Our observation is aligned with the theory that adding additional supervised information helps neural networks learn.

SSD-AF-Stereo3D model performs the best indicates that the model is able to infer positional information better using both stereo images as input. Interestingly, we also observed that models that use stereo input but only predict  $(t_u, t_v, t_z)$  in one of the images (instead of both) do not out-perform single image models such as SSD-AF-3D.

#### 5.4 Orientation and 6-DoF Prediction

Second we also present results on orientation and 6-DoF pose estimation with SSD-AF. Recent notable work on 6-DoF pose estimation typically uses one of two methods: regression or discrete binning. Regression models such as in [16] predicts object poses directly. Orientation can be represented in either Euler angles or quaternions for regression. Discrete binning model such as in [19] splits the possible 6-DoF space into a number of discrete bins, or *Views* as in [12]. Pose estimation then becomes a classification problem of assigning the correct viewspace bin to the sample.

We implemented both approaches with SSD-AF model:

- **SSD-AF-Stereo6D-Quat**: This model takes a stacked stereo pair as input and predict boxes with 14 additional fields that represent the full 6 DoF pose  $(t_u, t_v, t_z, qx, qy, qz, qw)$  of the controller in both images.  $qx, qy, qz, qw$  is the quaternion representation of orientation.
- **SSD-AF-Stereo6D-Euler**: This model is similar to the one above besides that orientation is represented by 3 values  $\alpha, \beta, \gamma$  in pitch, yaw, roll direction in Euler angle.
- **SSD-AF-Binned**: Instead of regression target, this model outputs  $b$  additional fields in class probabilities  $(tc_1, \dots, tc_b)$ .  $tc_i$  corresponds to the  $i$ -th orientation bin. In our experiments, we split the full orientation space equally into bins.
- **SSD-AF-3D-Binned**: Similar to above but also predicts  $(t_u, t_v, t_z)$  in addition to orientation bins.
- **SSD-AF-3D-AxisBinned**: Similar to above but orientation is binned per axis.

Additionally, we also test the **SSD-AF-MultiplePoint** model, which outputs additional fields  $(t_u, t_v, t_z)$  for 4 keypoints for each image in the stereo pair, yielding a total of 24 additional fields. The additional keypoints are chosen to correspond to other keypoints on the controller which are not co-planar. We compute orientation from the 4 keypoints by fitting a plane to the predicted keypoints and computing the orientation of the plane in camera space.

The results of these experiments are shown in Table 4 and Table 5.

Model	Orientation MAE			Position MAE
	Yaw	Pitch	Roll	$xyz$
SSD-AF-Stereo3D	-	-	-	<b>0.0335</b>
SSD-AF-Stereo6D-Quat	0.3666	1.4790	0.6653	0.0521
SSD-AF-Stereo6D-Euler	0.3630	1.5840	0.7334	0.0448
SSD-AF-MultiplePoint	0.3711	1.108	1.203	0.0452
SSD-AF-3D-AxisBinned (20×3 bins)	<b>0.1231</b>	<b>0.8594</b>	<b>0.5256</b>	0.0503

Table 4: MAE of orientation prediction models. Errors are measured in radians.

Model	Orientation Bins mAP	Position MAE
SSD-AF-Binned (27 bins)	0.6538	-
SSD-AF-Binned (512 bins)	0.3627	-
SSD-AF-3D-Binned (27 bins)	0.6412	0.04760
SSD-AF-3D-Binned (512 bins)	0.3801	0.07167
SSD-AF-3D-AxisBinned-Yaw (20 bins)	0.4480	0.05124
SSD-AF-3D-AxisBinned-Pitch (20 bins)	0.3592	0.04975
SSD-AF-3D-AxisBinned-Roll (20 bins)	0.5532	0.04413

Table 5: Classification mAP of binning models.

SSD-AF-3D-AxisBinned performs the best across the board with the lowest numbers in all three directions. Note that this model predicts orientation around only one of these directions at a time instead of simultaneously as in the case of the others. In general, binning models perform better than regression models on orientation. Our models with 512 bins achieves binning precision 38%, which is much higher than chance.

Among regression models, the quaternion encoding of SSD-AF-Stereo6D-Quat performs slightly better than the Euler angle encoding of SSD-AF-Stereo6D-Euler. This observation is different from results in [16]. SSD-AF-MultiplePoint outperforms SSD-AF-Stereo6D models in the pitch direction, but fails short in the roll direction.

Finally, all 6-DoF models perform slightly worse on 3D position prediction compared to SSD-AF-Stereo3D. We conjecture that this is due to our mobile friendly models running out of capacity for predicting both the position and rotation.

## 6 Conclusion and Future Work

We have presented approaches for efficient 6-DoF tracking of handheld controllers on mobile VR/AR headsets. Our methods use stereo cameras on the headset, and IMU on 3-DoF controllers as input. The HMD Controller dataset collected for this work consists of over 540,000 stereo pairs of fisheye images with markerless 6-DoF annotation of the controller pose. The 6-DoF annotation is automatically collected with a Vicon motion capture system and has sub-millimeter accuracy. Our dataset covers a diverse user base and challenging environments. To the best of our knowledge this is the largest dataset of its kind.

We have demonstrated that our SSD-AF-Stereo3D model achieves a low positional error of 33.5mm in 3D keypoint tracking on our dataset. It can run on a single mobile CPU core at 30 frames per second. We have also presented results on end-to-end 6-DoF pose prediction under strict computational constraints.

Our future work includes improving orientation prediction results. We believe our models can be further improved by encoding orientation to be invariant to default box locations. Objects with the same orientation may have different appearance in different parts of the image due to camera projection. Instead of asking the network to learn the projection, we can explore using projection-adjusted orientation as groundtruth, such that objects with the same appearance always correspond to the same orientation label.

Another interesting research direction is to apply temporal and contextual information to our models. Currently all our models predict object poses on a frame-by-frame basis. Adding temporal filtering or using a RNN could significantly speed up tracking. Motion priors for different types of interaction can also be added to further improve tracking quality.

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